



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS : Wands, *et al.*  
ASSIGNEE : Rhode Island Hospital  
SERIAL NUMBER : 09/859,604 EXAMINER : Karen A. Canella  
FILING DATE : May 17, 2001 ART UNIT : 1642  
FOR : DIAGNOSIS AND TREATMENT OF MALIGNANT NEOPLASMS

September 27, 2001

BOX MISSING PARTS  
Commissioner for Patents and Trademarks  
Washington, D.C. 20231

TRANSMITTAL LETTER

In response to the Notice to File Missing Parts mailed August 1, 2001, we are filing herewith the following documents for the above identified patent application:

- ☒ Nucleotide/Amino Acid Sequence Submission, paper copy (16 pages)
  - ☒ Computer Readable Form (1 disk)
  - ☒ Statement in Support of Computer Readable Form Submission under 37 C.F.R. § 1.821(f) (1 page)
- ☒ Preliminary Amendment (2 pages);
- ☒ Resposne to Notice to File Missing Parts (1 page);
- ☒ Copy of Transmittal with executed Combined Declarations and Powers of Attorney (11 pages);
- ☒ Copy of Notice to File Missing Parts of Nonprovisional Applcication (2pages); and
- ☒ a postcard.

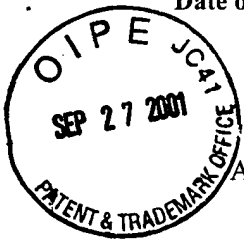
Applicants believe that this filing is due on or before October 1, 2001, and that no fee is required. However, if a fee is due, the Commissioner is hereby authorized to charge any fees that may be due to Deposit Account No. 50-0311, Reference No. 21486-032CIP. A duplicate copy of this transmittal letter is enclosed.

Respectfully submitted,

Ingrid A. Beattie, Reg. No. 42,306  
c/o MINTZ, LEVIN  
One Financial Center  
Boston, Massachusetts 02111  
Tel: (617) 542-6000  
Fax: (617) 542-2241

Express Mail Label No.: EL862265424US  
Date of Deposit: September 27, 2001

PATENT APPLICATION  
Attorney Docket No. 21486-032CIP



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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September 27, 2001

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Commissioner for Patents and Trademarks  
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS

Responsive to the Notice To File Missing Parts mailed August 1, 2001, Applicants submit herein a copy of the Notice to File Missing Parts and a copy of the executed Combined Declaration and Power of Attorney documents we submitted on July 17, 2001. Please charge any additional fees that may be due, or credit any overpayment of same, to Deposit Account No. 50-0311, Reference No. 21486-032 CIP.

Respectfully submitted,

Ingrid A. Beattie, Reg. No. 42,306  
c/o MINTZ, LEVIN  
One Financial Center  
Boston, Massachusetts 02111  
Tel: (617) 542-6000  
Fax: (617) 542-2241

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS : Wands, *et al.*

ASSIGNEE : Rhode Island Hospital

SERIAL NUMBER : 09/859,604

EXAMINER : Not Yet Assigned

FILING DATE : May 17, 2001

ART UNIT : Not Yet Assigned

FOR : DIAGNOSIS AND TREATMENT OF MALIGNANT NEOPLASMS

July 17, 2001  
Boston, Massachusetts

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

TRANSMITTAL LETTER

Transmitted herewith for filing in the present application are the following documents:

- ☒ Two Executed Combined Declaration and Power of Attorney Documents (8 pgs.);
- ☒ Check No. 9539 in the amount of \$65.00 in compliance with 37 C.F.R. §1.16(e); and
- ☒ Return postcard.

Applicants believe that no additional fees are due with this submission; however, the Commissioner is hereby authorized to charge any fees that may be due to Deposit Account No. 50-0311, Reference No. 21486-032 CIP. A duplicate copy of this Transmittal Letter is enclosed.

Respectfully submitted,



Ingrid A. Beattie, Reg. No. 42,306  
c/o MINTZ, LEVIN  
One Financial Center  
Boston, Massachusetts 02111  
Tel: (617) 542-6000  
Fax: (617) 542-2241

Serial No. 09/859,604 File No. 21486-032CIP By: IRE/LAB  
Title: DIAGNOSIS AND TREATMENT OF MALIGNANT NEOPLASMS  
Application of Wands et al. Date: 5/17/01

The U.S. PTO Mail Room acknowledges receipt of the following on the date stamped hereon:

<input type="checkbox"/> Req. for CPA under 37 CFR 1.53(d)	<input type="checkbox"/> Provisional Application Cover Sheet
<input type="checkbox"/> Change of Attorney's Address	<input type="checkbox"/> Int. Discl. Statement, PTO Form 1449
<input type="checkbox"/> New Power of Attorney	<input type="checkbox"/> References Cited
<input type="checkbox"/> Patent Application	<input type="checkbox"/> Copy of Notice to File Missing Parts
<input type="checkbox"/> Non-provisional <input type="checkbox"/> Provisional	<input type="checkbox"/> Amendment/Response
Incl. _____ pages, ( _____ pgs) Specification, _____ # claims)	<input type="checkbox"/> Petition for Ext. of Time (x2)
<input type="checkbox"/> pgs) Abstract, ( _____ pgs) Claims ( _____ # claims)	<input type="checkbox"/> Issue Fee Transmittal
<input type="checkbox"/> Design Patent Application	<input type="checkbox"/> Letter to Official Draftsperson
<input type="checkbox"/> Declaration(s) <u>Executed: two</u>	<input type="checkbox"/> Notice of Appeal
<input type="checkbox"/> Drawings _____ sheet(s) (FIGS. _____)	<input type="checkbox"/> Brief (x2)
<input type="checkbox"/> Formal <input type="checkbox"/> Informal	<input checked="" type="checkbox"/> Check for \$ <u>65.00</u> Check # <u>9529</u>
<input type="checkbox"/> Verified Statement claiming small entity status	<input checked="" type="checkbox"/> Transmittal Letter (x2) (1 pg.)
<input type="checkbox"/> Assignment and Cover Sheet	<input type="checkbox"/> Cert. of Mailing under 37 CFR 1.89
	<input checked="" type="checkbox"/> Express Mail Label No. <u>EK611846314US</u>

☐ Other \_\_\_\_\_

DATE MAILED July 17, 2001



MINTZ LEVIN COHN FERRIS GLOVSKY  
& POPEO PC  
ONE FINANCIAL CTR.  
BOSTON, MA 02111

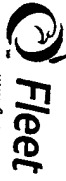
9539

PAY  
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DATE 7/17/01

5-13/110



81358  
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Federal Street Office  
Boston, Massachusetts 02110

FOR: *Sixty-five*  
*Declarant (on) USSN 03659604*

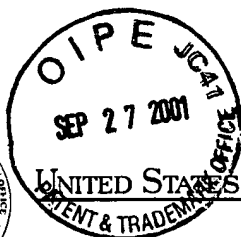
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COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/859,604	05/17/2001	Jack R. Wands	21486-032 CIP

**CONFIRMATION NO. 5006**

**FORMALITIES LETTER**



\*OC00000006370661\*

MINTZ, LEVIN, COHN, FERRIS, GLOVSKY and POPEO, P.C  
One Financial Center  
Boston, MA 02111

Date Mailed: 08/01/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

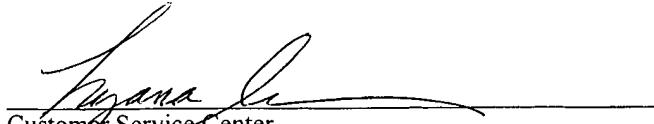
An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

**For questions regarding compliance to these requirements, please contact:**

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

*A copy of this notice MUST be returned with the reply.*

A handwritten signature in dark ink, appearing to read "Myana Li", is written over a horizontal line.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE